

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Sean Handel et al.

Serial No.: 09/196,338

Filed: November 19, 1998

Title: A PERSONALIZED PRODUCT
REPORT

Docket No.: 60021-302901

Conf. No. 9014

Group Art Unit: 3622

Examiner: A. Duran

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

REQUEST FOR CORRECTED FILING RECEIPT

Dear Sir:

Please amend the Domestic Priority data as claimed by applicant to read as follows:

This appln claims benefit of 60/115,515 11/12/1998

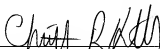
Attached for your reference are copies of the following:

1. A red-lined Filing Receipt showing the above-identified correction;
2. Supplemental Application Data Sheet;
3. Copy of the executed Combined Declaration and Power of Attorney showing the originally claimed priority to the provisional application listed above and return post card showing receipt of the same by the United States Patent and Trademark Office.

In compliance with 37 C.F.R. § 1.78(a)(5)(ii), because the above-identified non-provisional application is pending and was filed before November 29, 2000, this request to reference a claim of priority to Provisional Application Serial No. 60/115,515 is timely.

If any fees are due in connection with the filing of this paper, then the Commissioner is authorized to charge such fees, including fees for any extension of time, to Deposit Account No. 50-1901 (Docket 60021-302901).

Respectfully submitted,

By 
Christopher R. Hilberg, Reg. No. 48,740
Customer No. 29,838

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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/196,338	11/19/1998	2165	760	AC980010	26	18	3

CONFIRMATION NO. 9014

CORRECTED FILING RECEIPT



OC00000006804535

L. KEITH STEPHENS
HICKMAN STEPHENS & COLEMAN
P.O. BOX 52037
PALO ALTO, CA 943030746

Date Mailed: 09/27/2001

Receipt is acknowledged of a CPA in this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

SEAN HANDEL, SAN FRANCISCO, CA;
BRIAN DAY, BURLINGAME, CA;
MIYA YUEN, FOSTER CITY, CA;

Domestic Priority data as claimed by applicant

This appln claims benefit of 60/115,515 11/12/1998

Foreign Applications

If Required, Foreign Filing License Granted 12/14/1998

CPA filed on: 04/11/2001

Projected Publication Date: 01/03/2002

Non-Publication Request: No

Early Publication Request: No

Title

A PERSONALIZED PRODUCT REPORT

Preliminary Class

RECEIVED
OPPENHEIMER WOLFF & DONNELLY LL^P
PALO ALTO, CALIFORNIA

OCT 02 2001

DOC. # AND 1 PG 29
CAL'D rad 10/30
FILED ☐ O/M ☐

10321-302901

**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

Supplemental Application Data Sheet

Application Information

Application Type::	Regular
Subject Matter::	Utility
Title::	A PERSONALIZED PRODUCT REPORT
Attorney Docket Number::	60021-302901
Serial No.:	09/196,338
Filed::	November 19, 1998
Total Drawing Sheets::	26
Small Entity::	No
Petition included?::	
Secrecy Order in Patent Appl.?::	

Applicant Information

Applicant Authority type::	Inventor
Primary Citizenship Country::	US
Status::	Full Capacity
Given Name::	Sean
Middle Name::	
Family Name::	Handel
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State or Province of Residence::	CA
Country of Residence::	US
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City of mailing address::	San Francisco
State or Province of mailing address::	CA
Postal or Zip Code of mailing address::	94115

Applicant Authority type::	Inventor
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Middle Name::	
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City of mailing address::	Burlingame
State or Province of mailing address::	CA
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Applicant Authority type::	Inventor
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Middle Name::	
Family Name::	Yuen
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State or Province of Residence::	CA
Country of Residence::	US
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City of mailing address::	Foster City
State or Province of mailing address::	CA
Postal or Zip Code of mailing address::	94404

Correspondence Information

Correspondence Customer Number:: 29838

Representative Information

Representative Customer Number:: 29838

Domestic Priority Information

Application::	Continuity Type::	Parent Application::	Parent Filing Date::
<u>This application</u>	<u>An application claiming the benefit under 35 USC 119(e)</u>	<u>60/115,515</u>	<u>11/12/1998</u>

Foreign Priority Information

Country::	Application number::	Filing Date::	Priority Claimed::

Assignee Information

Assignee name:: Accenture LLP
Street of mailing address:: 1661 Page Mill Road
City of mailing address:: Palo Alto
State or Province of mailing address:: CA
Country of mailing address:: USA
Postal or Zip Code of mailing address:: 94304

Receipt is hereby acknowledged for the following in the U.S. Patent and Trademark Office:

Applicant: Handel, et al.

Title: A SYSTEM, METHOD AND ARTICLE OF MANUFACTURE FOR ADVANCED INFORMATION GATHERING FOR A PERSONALIZED PRODUCT REPORT

Doctee: AG 08001

Exponent Mod No: BE25707831US

Date of Deposit: 11/19/98

☒ Transmittal sheet; in duplicate, containing Certificate under 37 CFR 1.30;

☒ Utility Patent Application: Spec: 101 Pgs, 18 claims, Abstract 1 Pgs.

☒ 76 sheets of formal drawings

☒ A signed Combined Declaration and Power of Attorney

☒ Assignment of the invention to Andersen Consulting, Fredericton, N.Y., Recombination Form Cover Sheet

☐ A check in the amount of \$2,000.00 to cover the Filing Fee

☐ A check for \$40.00 to cover the Assignment Recording Fee

Patent

JC540 U.S. PTO

09/196338



11/19/98

ANDERSEN
CONSULTING

ANDERSEN CONSULTING

United States Patent Application

COMBINED DECLARATION AND POWER OF ATTORNEY

As a below named inventor I hereby declare that: my residence, post office address and citizenship are as stated below next to my name; that

I verily believe I am the original, first and sole inventor (if only one name is listed below) or a joint inventor (if plural inventors are named below) of the subject matter which is claimed and for which a patent is sought on the invention entitled: A SYSTEM, METHOD AND ARTICLE OF MANUFACTURE FOR A PERSONALIZED PRODUCT REPORT.

The specification of which

- a. ☒ is attached hereto
 b. ☒ was filed on November 12, 1998 as application serial no. and was amended on (if applicable) (in the case of a PCT-filed application) described and claimed in international no. filed and as amended on (if any), which I have reviewed and for which I solicit a United States patent.

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the patentability of this application in accordance with Title 37, Code of Federal Regulations, § 1.56 (attached hereto).

I hereby claim foreign priority benefits under Title 35, United States Code, § 119/365 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on the basis of which priority is claimed:

- a. ☒ no such applications have been filed.
 b. ☐ such applications have been filed as follows:

FOREIGN APPLICATION(S), IF ANY, CLAIMING PRIORITY UNDER 35 USC § 119			
COUNTRY	APPLICATION NUMBER	DATE OF FILING (day, month, year)	DATE OF ISSUE (day, month, year)
ALL FOREIGN APPLICATION(S), IF ANY, FILED BEFORE THE PRIORITY APPLICATION(S)			
COUNTRY	APPLICATION NUMBER	DATE OF FILING (day, month, year)	DATE OF ISSUE (day, month, year)

I hereby claim the benefit under Title 35, United States Code, § 120/365 of any United States and PCT international application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, § 1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application.

U.S. APPLICATION NUMBER	DATE OF FILING (day, month, year)	STATUS (patented, pending, abandoned)

I hereby claim the benefit under Title 35, United States Code § 119(e) of any United States provisional application(s) listed below:

U.S. PROVISIONAL APPLICATION TITLE	DATE OF FILING (Day, Month, Year)
A SYSTEM, METHOD AND ARTICLE OF MANUFACTURE FOR A CLIENT CENTRIC NETWORKING EXPERIENCE.	12 November 1998

I hereby appoint the following attorney(s) or patent agent(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected herewith L. Keith Stephens, Reg. No. 32,632.

I hereby authorize them to act and rely on instructions from and communicate directly with the person/assignee/attorney/firm/ organization who/which first sends/sent this case to them and by whom/which I hereby declare that I have consented after full disclosure to be represented unless/until I instruct Keith Stephens to the contrary.

Please direct all correspondence in this case to Keith Stephens at the address indicated below:

Andersen Consulting
L. Keith Stephens
1661 Page Mill Road
Palo Alto, CA 94304

86677-3339160

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

2	Full Name Of Inventor	Family Name Handel	First Given Name Sean	Second Given Name
0	Residence & Citizenship	City San Francisco	State or Foreign Country CA	Country of Citizenship US
1	Post Office Address	Post Office Address 2927 Pine Street	City San Francisco	State & Zip Code/Country CA 94115
Signature of Inventor 201: <i>Sean Handel</i>			Date: 11-18-98	

2	Full Name Of Inventor	Family Name Day	First Given Name Brian	Second Given Name
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2	Post Office Address	Post Office Address 1112 Palm Drive	City Burlingame	State & Zip Code/Country CA 94119
Signature of Inventor 202: <i>Brian Day</i>			Date: 11/18/98	

2	Full Name Of Inventor	Family Name Yuen	First Given Name Miya	Second Given Name
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3	Post Office Address	Post Office Address 748 Bounty Drive, #4802	City Foster City	State & Zip Code/Country CA 94404
Signature of Inventor 203: <i>Miya Yuen</i>			Date: 11/17/98	

2	Full Name Of Inventor	Family Name	First Given Name	Second Given Name
0	Residence & Citizenship	City	State or Foreign Country	Country of Citizenship
4	Post Office Address	Post Office Address	City	State & Zip Code/Country
Signature of Inventor 204:			Date:	

2	Full Name Of Inventor	Family Name	First Given Name	Second Given Name
0	Residence & Citizenship	City	State or Foreign Country	Country of Citizenship
5	Post Office Address	Post Office Address	City	State & Zip Code/Country
Signature of Inventor 205:			Date:	

§ 1.56 Duty to disclose information material to patentability.

(a) A patent by its very nature is affected with a public interest. The public interest is best served, and the most effective patent examination occurs when, at the time an application is being examined, the Office is aware of and evaluates the teachings of all information material to patentability. Each individual associated with the filing and prosecution of a patent application has a duty of candor and good faith in dealing with the Office, which includes a duty to disclose to the Office all information known to that individual to be material to patentability as defined in this section. The duty to disclose information exists with respect to each pending claim until the claim is canceled or withdrawn from consideration, or the application becomes abandoned. Information material to the patentability of a claim that is canceled or withdrawn from consideration need not be submitted if the information is not material to the patentability of any claim remaining under consideration in the application. There is no duty to submit information which is not material to the patentability of any existing claim. The duty to disclose all information known to be material to patentability is deemed to be satisfied if all information known to be material to patentability of any claim issued in a patent was cited by the Office or submitted to the Office in the manner prescribed by §§ 1.97(b)-(d) and 1.98. However, no patent will be granted on an application in connection with which fraud on the Office was practiced or attempted or the duty of disclosure was violated through bad faith or intentional misconduct. The Office encourages applicants to carefully examine:

- (1) prior art cited in search reports of a foreign patent office in a counterpart application, and
- (2) the closest information over which individuals associated with the filing or prosecution of a patent application believe any pending claim patentably defines, to make sure that any material information contained therein is disclosed to the Office.

(b) Under this section, information is material to patentability when it is not cumulative to information already of record or being made of record in the application, and

- (1) It establishes, by itself or in combination with other information, a prima facie case of unpatentability of a claim;
- (2) It refutes, or is inconsistent with, a position the applicant takes in:
 - (i) Opposing an argument of unpatentability relied on by the Office, or
 - (ii) Asserting an argument of patentability.

A prima facie case of unpatentability is established when the information compels a conclusion that a claim is unpatentable under the preponderance of evidence, burden-of-proof standard, giving each term in the claim its broadest reasonable construction consistent with the specification, and before any consideration is given to evidence which may be submitted in an attempt to establish a contrary conclusion of patentability.

- (c) Individuals associated with the filing or prosecution of a patent application within the meaning of this section are:
- (1) Each inventor named in the application;
 - (2) Each attorney or agent who prepares or prosecutes the application; and
 - (3) Every other person who is substantively involved in the preparation or prosecution of the application and who is associated with the inventor, with the assignee or with anyone to whom there is an obligation to assign the application.
- (d) Individuals other than the attorney, agent or inventor may comply with this section by disclosing information to the attorney, agent, or inventor.